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PTO/SB/08A (10-01)

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Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet

1

of

2

Complete if Known

Application Number	09/971,776
Filing Date	October 4, 2001
First Named Inventor	Aaron Gershon Filler
Art Unit	1093 1635
Examiner Name	

Attorney Docket Number

GJE-06FD3

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U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code ² (if known)			
D~	U1	US-4,826,823	05-02-1989	H146 Cook et al.	All
D~	U2	US-4,452,773	06-05-1984	H146 Molday	All
	U3	US-			
	U4	US-			
	U5	US-			
	U6	US-			
	U7	US-			
	U8	US-			

FOREIGN PATENT DOCUMENTS

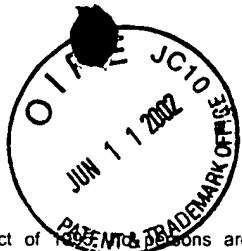
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ - Number ⁴ - Kind Code ⁵ (if known)				
D~	F1	WO 88/07365 A2	10-06-1988	Ranney	All	
	F2	WO 92/05250 A1	04-02-1992	University of Conn.	All	
	F3	WO 92/11037 A2	07-09-1992	Advanced Magnetics	All	
	F4	EP 0040512 A1	11-25-1981	Corning Glass Works	All	
	F5	WO 89/03675 A1	05-05-1989	Carbomatrix AB	All	
	F6	WO 92/11846 A1	07-23-1992	St. George's Ent. Ltd.	All	
	F7	GB 2,221,466 A	02-07-1990	Aluminum Company of America et al.	All	
m	F8	WO 92/04916 A2	04-02-1992	St. George's Ent. Ltd.	All	

Examiner Signature	D~	Date Considered	6/28/02
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² See Kind Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



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<p align="center">Substitute for form 1449B/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)</p>				Complete if Known	
Sheet	2	of	2	Application Number	09/971,776
				Filing Date	October 4, 2001
				First Named Inventor	Aaron Gershon Filler
				Group Art Unit	1633 1635
				Examiner Name	
				Attorney Docket Number	GJE-06FD3

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article, (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	
B2	R1	VERMA, I.M. et al. "Gene Therapy—Promises, Problems and Prospects" <i>Nature</i> , 1997, pp. 239-242, Vol. 389. T ² 1600/2900	
D2	R2	ANDERSON, W. F. "Human Gene Therapy" <i>Nature</i> , 1998, pp. 25-30, Vol. 392.	
	R3	COTTEN, M. et al. "High-Efficiency Receptor-Mediated Delivery of Small and Large 48 Kilobase Gene Constructs Using the Endosome-Disruption Activity of Defective or Chemically Inactivated Adenovirus Particles" <i>Proc. Natl. Acad. Sci. USA</i> , 1992, pp. 6094-6098, Vol. 89.	
	R4	AUDESIRK, G. et al. <i>Biology: Life on Earth</i> , 1986, pp. 66-67, MacMillan Publishing Company, New York.	
	R5	WU, G.Y. et al. "Receptor-Mediated Gene Delivery and Expression <i>in Vivo</i> " <i>The Journal of Biological Chemistry</i> , 1988, pp. 14621-14624, Vol. 263, No. 29.	
D2	R6	WALKER, P.R. et al. "Effects of Aluminum and Other Cations on the Structure of Brain and Liver Chromatin" <i>Biochemistry</i> , 1989, pp. 3911-3915, Vol. 28.	
	R7		
	R8		
	R9		
	R10		
	R11		
	R12		
	R13		

Examiner Signature	D2L	Date Considered	JUN 25 2002
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